

## Information Update – Governance & Audit Workplan Status Report

**To:** Chair and Board of Directors  
**Through:** President/CEO Inez P. Evans  
**From:** Deputy of Governance & Audit Brian Atkinson  
**Date:** June 16, 2021

### GOVERNANCE & AUDIT WORKPLAN STATUS REPORT AND CURRENT PROJECTS

CURRENT PROJECTS IN ADDITION TO THE GOVERNANCE AND AUDIT WORKPLAN

- Participating in the FTA Triennial Review
- Participating as the IndyGo liaison with the financial audit firm BKD

### Governance & Audit Work Plan Status Report

Project / Activity	Governance & Audit Committee Meeting					
	FY20			FY21		
	Jun-20	Sep-20	Jan-21	Mar-21	Jun-21	Sep-21
Annual Risk Assessment Refresh						
Procurement Process Review						
Strategic & Capital Planning/Grant Management Review						
Compliance Mapping Exercise						
Comprehensive IT Risk Assessment						
Fare Collection						
Storeroom & Materials Management						
Capital Project Management						★
Memorandums of Understanding Review						★

 Complete
  In-Process
  Planned

★ Report targeted to be presented at G&A meeting.

Note: the timelines reflected above are estimates and may be subject to change due to scheduling constraints and/or Board requests.

## Governance & Audit Completed Reviews & Audits

Report ID	Report Title	Date Reported
2020-1	Employee Terminations Timely Update in ADP	2/27/2020
2020-2	Transdev Fuel Purchase Reporting	6/16/2020
2020-3	Lost and Found Policy Addressing Found Cash	6/16/2020
2020-4	Lost Revenue - Online Credit Card Error	6/16/2020
2020-5	Payments Against Expired Agreement-Non-Order Invoices	6/16/2020
2020-6	BCP Progress Assessment	9/17/2020
2020-7	Red Line Lessons Learned	9/17/2020
2020-8	Annual Risk Refresh & Proposed Audit Plan	1/21/2021
2020-9	Procurement Process Review	1/21/2021
2020-10	Strategic & Capital Planning/Grant Management Review	1/21/2021
2020-11	Compliance Mapping Exercise	1/21/2021
2020-12	Comprehensive IT Risk Assessment	3/18/2021
2021-1	Fare Collection Audit	6/16/2021
2021-2	Storeroom and Materials Management Audit	6/16/2021



### RECOMMENDATION:

Receive the report.

Brian Atkinson  
Deputy of Governance & Audit